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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	<b>Attorney Docket No.</b> R0145B-REG	
	<b>First Inventor</b>	David Michael Goldstein
	<b>Title</b>	6-Alkoxy-Pyrido-Pyrimidines
	<b>Express Mail Label No.</b> EH 814 667 825 US	

2241 U.S. PTO  
10/6/1996  
08/05/03

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>  1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g., PTO/SB/17)</b> <small>(Submit an original and a duplicate for fee processing)</small>  2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> <small>See 37 CFR 1.27.</small>  3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <span style="border: 1px solid black; padding: 0 5px;">50</span> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> 4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C. 113)</b> [Total Sheets <span style="border: 1px solid black; padding: 0 5px;">  </span> ]  5. <b>Oath or Declaration</b> [Total Pages <span style="border: 1px solid black; padding: 0 5px;">2</span> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small></li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li></ul> 6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76	<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop: Patent Application, P.O. Box 1450 Alexandria, VA 22313-1450  7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. <b>Specification Sequence Listing on:</b><ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul></li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul> <b>ACCOMPANYING APPLICATIONS PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

● **Claim for Benefit of Provisional Application(s):**

This application claims the benefit under Title 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/401,491, filed August 6, 2002.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label			<div style="border: 1px solid black; height: 20px; width: 100%;"></div> <small>(Insert Customer No. or Attach bar code label here)</small>		
			or <input type="checkbox"/> Correspondence address below		
<b>Name</b>	ROCHE PALO ALTO LLC				
	Patent Law Dept. M/S A2-250				
<b>Address</b>	3431 Hillview Avenue				
<b>City</b>	Palo Alto	<b>State</b>	CA	<b>Zip Code</b>	94304
<b>Country</b>	USA	<b>Telephone</b>	650/354-7540	<b>Fax</b>	650/855-5322

<b>Name (Print/Type)</b>	Robert C. Hall	<b>Registration No. (Attorney/Agent)</b>	39,209
<b>Signature</b>		<b>Date</b>	August 5, 2003

SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc. # 121478

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete if Known

Application Number

New Application

Filing Date

within

First Named Inventor

David Michael Goldstein

Examiner Name

unassigned

Group / Art Unit

unassigned

TOTAL AMOUNT OF PAYMENT

(\$) 750.00

Attorney Docket No.

R0145B-REG

## METHOD OF PAYMENT (check one)

1. ☒

The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
NameRoche Palo Alto LLC  
3431 Hillyview Avenue  
Palo Alto, CA 94304

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	750			Utility filing fee	750.00
106	330			Design filing fee	
107	510			Plant filing fee	
108	750			Reissue filing fee	
114	160			Provisional filing fee	

SUBTOTAL (1)

(\$) 750

## 2. EXTRA CLAIM FEES

Total Claims	18	-	**	=	0	X	18	=	0.
Independent Claims	1	-	**	=	0	X	84	=	0.
Multiple Dependent						X		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18			Claims in excess of 20
102	84			Independent claims in excess of 3
104	280			Multiple dependent claim, if not paid
109	84			** Reissue Independent claims over original patent
110	18			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) .00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet.	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	400		Extension for reply within second month	
117	920		Extension for reply within third month	
118	1,440		Extension for reply within fourth month	
128	1,960		Extension for reply within fifth month	
119	320		Notice of Appeal	
120	320		Filing a brief in support of an appeal	
121	280		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,280		Petition to revive - unintentional	
142	1,280		Utility issue fee (or reissue)	
143	460		Design issue fee	
144	620		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Processing fee under 37 CFR 1.17 (q)	
126	180		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties)	
146	740		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740		Request for Continued Examination (RCE)	
169	900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Robert C. Hall

Registration No. Attorney/Agent)

39,209

Telephone

354-7540

Signature

Date

August 5, 2003